



UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2

DOCKET

Dec. 1, 2001

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/931,084	08/15/2001	Joseph Barry Neulight	WITHOUTABOX.PT1

CONFIRMATION NO. 2886

FORMALITIES LETTER



OC000000006816992

Otto O. Lee, Esq.
Intellectual Property Law Group
Suite 1212
12 South First Street
San Jose, CA 95113

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/07/2001
BARRIERS FORWARDED 12/07/2001
01-10122
FILED UNDER 37 CFR 1.53(b)

2001-10-01
Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

The following item(s) appear to have been **omitted** from the application:

- Figure(s) 4 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and

\$130.00 petition fee (37 CFR 1.17(h)) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) OR (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

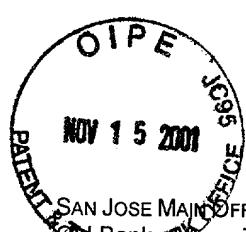
*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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November 30, 2001

VIA EXPRESS MAIL
EL682842443US

Commissioner for Patents
Box Missing Parts
United States Patent and Trademark Office
2900 Crystal Drive
Arlington, VA 22202-3513

Re: **FILING OF MISSING PARTS**

For: Internet-Based Film Festival Digital Entry and Back Office Service
Suite Model

Applicant: Joseph Barry Neulight
Serial No.: 09/931,084

Attorney Docket No.: **WITHOUTABOX.PT1**

Dear Sir:

Applicant respectfully submits the following in response to the Notice to File Missing Parts of Nonprovisional Application dated October 1, 2001. This response is timely filed:

- 1) Joint Declaration/Power of Attorney; and
- 2) Substitute Drawings in Compliance with 37 CFR 1.84, including Figure 4 which was missing from the original application.

The Commissioner is hereby authorized to charge any deficient payment of fees or credit any overpayment to Deposit Account No. 501037.

Please call the undersigned if you have any questions.

Sincerely,
INTELLECTUAL PROPERTY LAW GROUP LLP

Otto O. Lee (Reg. No. 37,871)
Enclosure



CERTIFICATE OF EXPRESS MAIL

"EXPRESS MAIL" mailing label number: EL452261268US
Date of Deposit: November 30, 2001

I hereby certify that the above-identified correspondence, which is attached, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. ' 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Box Missing Parts, Arlington, VA 22202-3513.

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Name of Person Mailing Paper or Fee

Bh
Signature

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